

# Irregular Repeat-Accumulate-Like Codes with Improved Error Floor Performance

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**Abstract**—In this paper, we present a new class of iteratively decoded error correction codes. These codes, which are a modification of irregular repeat-accumulate (IRA) codes, are termed generalized IRA (GIRA) codes, and are designed for improved error floor performance. GIRA codes are systematic, easily encodable, and are decoded with the sum-product algorithm. In this paper we present a density evolution algorithm to compute the threshold of GIRA codes, and find GIRA degree distributions which produce codes with good thresholds. We then propose inner code designs and show using simulation results that they improve upon the error floor performance of IRA codes.

## I. INTRODUCTION

Turbo-like error correction codes provide near-capacity error correction performance with practical encoding and decoding algorithms. This is achieved through the parallel, or serial, concatenation of two simple constituent convolutional codes via an interleaver and by decoding with an iterative algorithm which passes likelihood information between the two constituent decoders. Following this idea are low-density parity-check (LDPC) codes [1], which are iteratively decoded block codes re-discovered in the wake of turbo codes. Like turbo codes, LDPC codes use simple constituent codes, namely repetition codes and parity check codes. LDPC codes are decoded by iteratively passing likelihood values between component decoders using a graphical representation of the codes called their Tanner graph [2]. LDPC codes can offer lower complexity decoding than turbo codes and, for long irregular LDPC codes, performance within a fraction of a decibel (dB) of the Shannon limit on the additive white Gaussian noise (AWGN) channel [3]. Indeed, on the binary erasure channel it has been established that irregular LDPC codes can be decoded reliably at rates arbitrarily close to the channel capacity [4]. However, a disadvantage of LDPC codes is an encoding complexity which can be quadratic in the code length.

A more recent addition to the family of capacity-approaching codes, *repeat-accumulate* (RA) codes [5], promises a solution to this problem. RA codes are formed by the serial concatenation of a repetition code, an interleaver,  $\Pi$ , which permutes the output of the repetition code, (optionally) a combiner, which sums (mod-2)  $a$  bits at a time the output of the interleaver, and a rate-1  $\frac{1}{1+D}$  convolutional code, called an accumulator. RA codes can be encoded using serial concatenation of the constituent encoders, as for serially concatenated turbo codes. An RA code can also be viewed as an LDPC code and decoded using sum-product decoding, thus gaining both the low encoding complexity of turbo codes and the decoding

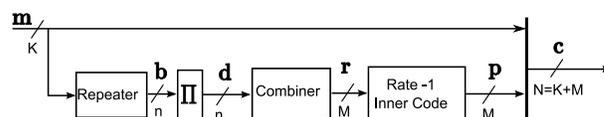


Fig. 1. GIRA encoder

performance of LDPC codes. See e.g. [6] for a description of sum-product decoding, also known as belief propagation (BP) decoding. A *regular* RA code uses the same repetition rate and combiner rate for all message bits while an *irregular* RA (IRA) code repeats some message bits more than others as well as grouping some bits more than others at the combiner.

Although simple and very easy to encode, IRA codes are powerful codes in their own right. Indeed, like irregular LDPC codes, IRA codes are capacity-achieving on the binary erasure channel [7]. Density evolution algorithms have been developed for IRA codes and IRA thresholds have been found within a fraction of a dB of capacity on AWGN channels [8]. Further, like LDPC codes, IRA codes show an interleaving gain in the word error rate (WER) as well as the bit error rate (BER).

The IRA codes considered in [8] have been optimized for threshold and exhibit a poor error floor at high signal-to-noise ratios. One method of dramatically reducing the error floor with a small reduction in threshold is to fix the minimum repetition parameter to 3.

In this paper, we present a class of IRA-like codes which generalize the accumulator of IRA codes to improve the error floor even further. These generalized IRA (GIRA) codes repeat message bits, interleave and combine just as in an IRA code, as shown in Figure 1, but the inner code is no longer a  $\frac{1}{1+D}$  convolutional code. Rather the inner code is chosen so that a fraction  $\frac{3\eta}{2+\eta}$  of the bits it outputs are a function of two of its past input bits and the remaining fraction  $\frac{2-2\eta}{2+\eta}$  of its output bits are a function of three of its past inputs bits. GIRA codes are systematic in that both the original message bits and the parity bits form the GIRA codeword. Using sum-product decoding, the decoding complexity of GIRA codes is only slightly increased over IRA codes.

The Tanner graph of a GIRA code is shown in Figure 2. The bit nodes (shown as circles) correspond to the coded bits, while the check nodes (shown as squares) correspond to the parity-check equations that the codewords must satisfy. The systematic bit nodes correspond to the information bits while the parity bit nodes correspond to the parity bits output from

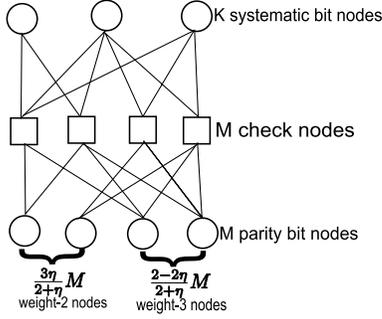


Fig. 2. Tanner graph of a GIRA code.

the inner code. The graph has an edge between bit node  $\alpha$  and check node  $\beta$  if the bit corresponding to  $\alpha$  participates in the parity-check equation corresponding to  $\beta$ .

We begin by presenting density evolution for these GIRA codes and derive their stability condition in Section II. We then propose inner codes for GIRA codes and consider code design in Section III, and conclude in Section IV.

## II. DENSITY EVOLUTION

For IRA and GIRA codes we distinguish between systematic edges, connecting systematic-bit nodes to check nodes, and parity edges connecting parity-bit nodes to check nodes. The edge degree distribution of the systematic edges is denoted  $(\lambda(x), \rho(x))$ , where  $\lambda_i$  is the fraction of systematic edges emanating from a degree- $i$  systematic-bit node and  $\rho_i$  is the fraction of systematic edges emanating from a degree- $i$  check node (where here the degree of the check node is the number of systematic edges to which it is connected). The edge degree distribution of the parity edges in a GIRA code are defined by the function  $\lambda_p(x) = \rho_p(x) = \eta x + (1 - \eta)x^2$ ,  $\eta \in [0, 1]$ .

For code construction, the *node* degree distribution of the systematic edges, denoted by the functions  $v(x) = v_2 x + \dots + v_i x^{i-1} + \dots + v_{v_{\max}} x^{v_{\max}-1}$  and  $h(x) = h_1 x + \dots + h_i x^{i-1} + \dots + h_{h_{\max}} x^{h_{\max}-1}$  is useful. Here  $v_i$  is the fraction systematic bit nodes with degree- $i$  and  $h_i$  is the fraction of check nodes with degree- $i$  (where the degree of the check node is the number of edges connecting it to systematic-bit nodes). Translating between systematic edge degrees and node degrees:

$$v_i = \frac{\lambda_i / i}{\sum_j \lambda_j / j}, \quad (1)$$

$$h_i = \frac{\rho_i / i}{\sum_j \rho_j / j}, \quad (2)$$

and for the parity edges:

$$v_2 = h_2 = \frac{3\eta}{2 + \eta}, \quad (3)$$

$$v_3 = h_3 = \frac{2 - 2\eta}{2 + \eta}. \quad (4)$$

A method for determining the expected performance on an AWGN channel of the ensemble of all codes with particular degree distribution, called density evolution (DE), was proposed for LDPC codes in [9], [10] and modified for IRA codes in [8]. For the AWGN channel, density evolution returns the largest noise variance (smallest signal-to-noise ratio) at which codes from an infinite length LDPC ensemble with a given degree distribution are expected to correct all of the errors [10]. The utility of density evolution stems from the *Concentration Theorem* [9] which guarantees that, with high probability, the BER after  $l$  iterations of the sum-product decoder applied to a randomly selected code in the ensemble and to a randomly generated channel noise sequence is close to the BER computed by density evolution, for sufficiently large block length. This signal-to-noise ratio is called the threshold of the degree distribution. The optimal degree distribution for a particular rate is found by optimizing the threshold over all admissible degree distributions using an optimization technique such as linear programming or differential evolution [9], [10].

The scheduling of the density evolution we use for GIRA codes is similar to that for IRA codes in [8] and based on the Tanner graph representation in Figure 2. Extrinsic information is passed from the systematic-bit nodes to the check nodes, from the check nodes to the parity-bit nodes, from the parity-bit nodes back to the check nodes, and finally from the check nodes to the systematic-bit nodes, completing one decoder iteration.

It can be shown that, for a binary-input symmetric-output channel, the distributions of the messages at every iteration of DE satisfy the symmetry condition such that, if  $F$  has density  $f$  [9]:

$$f(x) = e^x f(-x). \quad (5)$$

Distributions satisfying (5) are said to be *symmetric*. The bit error rate operator is defined by

$$\text{Pe}(F) = \frac{1}{2}(F^-(0) + F(0)), \quad (6)$$

where  $F^-(z)$  is the left-continuous version of  $F(z)$ . We introduce the “delta at zero” distribution, denoted by  $\Delta_0$  for which  $\text{Pe}(\Delta_0) = 1/2$ , and the “delta at infinity” distribution, denoted by  $\Delta_\infty$ , for which  $\text{Pe}(\Delta_\infty) = 0$ .

The symmetry property (5) implies that a sequence of symmetric distributions  $\{F^l\}_{l=0}^\infty$  converges to  $\Delta_\infty$  if and only if  $\lim_{l \rightarrow \infty} \text{Pe}(F^l) = 0$ . DE for GIRA codes is given by the following proposition whose derivation is omitted as its analogous to the derivation of DE in [8] for IRA codes.

*Proposition 1:* Let  $P_l$  (respectively,  $\tilde{P}_l$ ) represent the average distribution of messages passed from a systematic-bit node (respectively, parity-bit node) to a check node at iteration  $l$ . Also let  $Q_l$  (respectively,  $\tilde{Q}_l$ ) represent the average distribution of the messages passed from a check node to a systematic-bit node (respectively, parity-bit node), at iteration  $l$ .

Under the cycle-free condition,  $P_l, \tilde{P}_l, Q_l, \tilde{Q}_l$  satisfy the following recursion:

$$P_l = F_u \otimes \lambda(Q_l), \quad (7)$$

$$\tilde{P}_l = F_u \otimes \tilde{\lambda}(\tilde{Q}_l), \quad (8)$$

$$Q_l = \Gamma^{-1} \left( \tilde{\rho}(\Gamma(\tilde{P}_{l-1})) \otimes \Gamma(\tilde{P}_{l-1}) \otimes \rho(\Gamma(P_{l-1})) \right), \quad (9)$$

$$\tilde{Q}_l = \Gamma^{-1} \left( \tilde{\rho}(\Gamma(\tilde{P}_{l-1})) \otimes \rho(\Gamma(P_{l-1})) \otimes \Gamma(P_{l-1}) \right), \quad (10)$$

for  $l = 1, 2, \dots$ , with the initial condition  $P_0 = \tilde{P}_0 = \Delta_0$ . Here  $F_u$  denotes the the distribution of the channel observation,  $\otimes$  denotes convolution and

$$\begin{aligned} \lambda(F) &\triangleq \sum_j \lambda_j F^{\otimes(j-1)}, \\ \tilde{\lambda}(F) &\triangleq \tilde{\rho}(F) = \eta F + (1 - \eta) F^{\otimes 2}, \\ \rho(F) &\triangleq \sum_j \rho_j F^{\otimes(j-1)}, \end{aligned}$$

where  $\otimes^m$  denotes  $m$ -fold convolution, i.e.  $F^{\otimes(j-1)}$  is the convolution of  $j - 1$  copies of a distribution  $F$ .

In the sum-product decoding algorithm the outgoing message at a check node is the function  $\gamma^{-1}(\sum \gamma(m))$ , where  $\gamma(x) = (\text{sign}(x), -\log \tanh \frac{|x|}{2})$ ,  $\text{sign}(x)$  is defined as in [8], and  $\Gamma(F_x)$  is the distribution of  $\gamma(x)$ .

*Lemma 1:* Density-evolution is stable for GIRA codes whenever

$$\lambda_2 \leq \frac{e^r (e^r - 2\eta + \eta^2)}{e^r \rho'(1) - \rho'(1)(2\eta - \eta^2) + \tilde{\rho}(3\eta - \eta^2)}. \quad (11)$$

Note that when  $\lambda_2 = 0$ , the stability condition reduces to

$$2\eta - \eta^2 \leq e^r$$

which, for BI-AWGN channels, is always satisfied, so all GIRA codes with  $\lambda_2 = 0$  are stable. The proof of Lemma 1 is omitted due to space constraints.

To find the threshold of GIRA ensembles on a binary-input AWGN channel we apply the recursions in (7), (8), (9) and (10) where the distribution  $F_u$  is determined by the signal-to-noise ratio (or noise variance) of the channel. The ensemble threshold is then the largest noise variance at which the bit error rate operator approaches zero as the number of iterations is allowed to increase without bound.

#### A. Finding GIRA degree distributions

To find a GIRA degree distribution, the rate,  $r$ , and fraction of degree-2 parity edges,  $\eta$ , are fixed and the set of allowed degree distributions is specified. The degree distributions which return the best threshold are found using differential evolution subject to the following constraints:

$$\sum_i \lambda_i = 1, \quad \sum_i \rho_i = 1, \quad r = \frac{1/\sum_i \frac{\rho_i}{i}}{1/\sum_i \frac{\rho_i}{i} + 1/\sum_i \frac{\lambda_i}{i}}.$$

Thus three of the allowed  $\lambda, \rho$  parameters are dependant variables. Also the stability constraint (11) must be satisfied and any new degree distributions which do not meet (11) are discarded.

*Example 1:* The best rate 1/2 IRA ensemble from [8] is given by

$$\begin{aligned} \lambda(x) &= 0.04227x + 0.16242x^2 + 0.06529x^6 + 0.06489x^7 \\ &+ 0.06207x^8 + 0.01273x^9 + 0.13072x^{10} + 0.04027x^{13} \\ &+ 0.00013x^{24} + 0.05410x^{25} + 0.13031x^{35} \\ &+ 0.13071x^{36} + 0.10402x^{99}, \end{aligned} \quad (12)$$

and fixed combiner rate 8 (ie  $\rho_7 = 1$ ), has a threshold 0.059dB from capacity for the AWGN channel.

We consider GIRA codes with the same rate and allowed degrees as this IRA ensemble but vary  $\eta$ , while keeping the same number of edges in the code. Fixing  $\eta = 1$  returns an optimised IRA code, while reducing  $\eta$  reduces the number of degree-2 parity bit nodes in the Tanner graph and lowers the ensemble's threshold. Varying  $\eta$  allows a tradeoff between a codes' threshold and error floor.

For example, the GIRA code with  $\eta = 0.772095$  degree distribution

$$\begin{aligned} \lambda(x) &= 0.244439x^2 + 0.080594x^6 + 0.038783x^7 \\ &+ 0.026531x^8 + 0.011140x^9 + 0.154463x^{10} \\ &+ 0.000330x^{13} + 0.076972x^{24} + 0.091514x^{25} \\ &+ 0.120244x^{35} + 0.064810x^{36} + 0.090181x^{99}, \\ \rho(x) &= 0.146894x^6 + 0.853106x^7 \end{aligned} \quad (13)$$

has a threshold 0.244dB from capacity for the AWGN channel.

We can also remove degree-2 systematic nodes to lower the error floor. The  $\lambda_2 = 0$  IRA code is given by

$$\begin{aligned} \lambda(x) &= 0.240455x^2 + 0.045267x^6 + 0.080683x^7 \\ &+ 0.0116825x^8 + 0.055066x^9 + 0.087685x^{10} \\ &+ 0.050631x^{13} + 0.020880x^{24} + 0.081912x^{25} \\ &+ 0.149727x^{35} + 0.054048x^{36} + 0.121972x^{99}, \end{aligned} \quad (14)$$

and constant combiner rate 8, has a threshold 0.14 dB from capacity for the AWGN channel.

### III. DESIGN OF THE INNER CODE

In an IRA code the inner code is a  $\frac{1}{1+D}$  convolutional code which simply outputs the sum of the past input bit and previous output bit for each output. This has the advantage of being very easy to implement at the encoder. However, when  $\lambda_2 > 0$ , the large number of degree-2 nodes introduce an error floor as the inclusion of degree-2 nodes leads to the ensemble as  $n \rightarrow \infty$  having a non-zero probability of weight-2, codewords bounding the *word* error probability away from zero [11].

Figure 3(b) shows the w3IRA inner code and (c) shows the  $(\eta, s)$ -GIRA inner code.

The inner code of a GIRA code on the other hand must vary the number of past outputs that each output sums ( $\frac{3\eta}{2+\eta}$  are summed once and  $\frac{2-2\eta}{2+\eta}$  are summed twice). We consider two ways to design and implement an inner code to implement a GIRA code in practice. The first, called w3IRA codes in [12], use a

$$\frac{1}{1 + D + D^{\psi+1}} \quad (15)$$



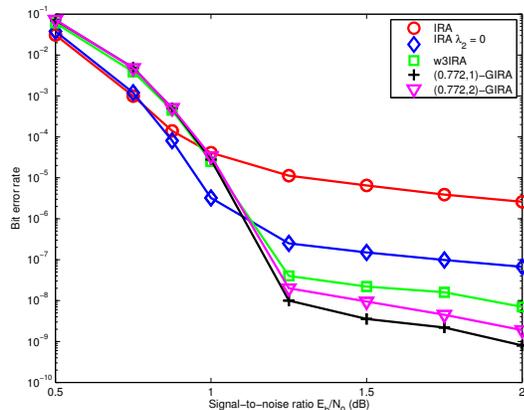


Fig. 4. Error correction performance on an AWGN channel of rate-1/2, length-10,000 codes with degree distribution (12)–(14), decoded with sum-product decoding with a maximum of 1000 iterations.

### C. Results

Fig. 4 shows the BER performance on the AWGN channel of IRA, w3IRA and  $(\eta, s)$ -GIRA codes with the degree distributions (12), (13) and (14), decoded using sum-product decoding. To compare the type of codes, independently of the interleaver/parity-check matrix design, codes with completely random interleaver constructions have been simulated. It can be seen that optimizing the threshold of IRA codes subject to  $\lambda_2 = 0$  improves the error floor over the IRA codes from (12). However, further substantial improvement in the error floor can be made with  $(\eta, s)$ -GIRA codes for a minimal effect on the threshold. For the case of  $s = 1$ , at an SNR of 2dB,  $8 \times 10^7$  blocks were simulated with only 20 errors detected, showing that reducing the number of weight-2 parity bit nodes and increasing the cycle length among the parity edges has a marked improvement on the error floor.

GIRA codes can be encoded using serial concatenation of the constituent codes, and decoded using sum-product decoding on the Tanner graph of the code, as for IRA codes but offer a much more flexible degree distribution than conventional IRA codes, allowing us to improve upon the error floor performance of both IRA and w3IRA codes.

Note that if the GIRA codes were to be decoded with turbo decoding, choosing an encoder with large  $\psi$  would dramatically increase the complexity of the BCJR decoder for the inner convolutional component code. However, using sum-product decoding on the code's Tanner graph the decoding complexity of  $(\eta, s)$ -GIRA codes will be only slightly increased over that of IRA codes due to the extra edges between the parity-bit and parity-check nodes in the Tanner graph. The GIRA encoder will require a length  $\psi$  shift register, and one additional modulo-2 summation per parity bit, and also require logic to switch the  $D^{\psi+1}$  component of the inner code on and off. For LDPC codes it has always been possible to trade off threshold performance for improved error floors by increasing the bit node degrees, see e.g. the Euclidean and projective geometry codes [13]. However, the error floor of IRA codes

is affected by the large number of weight-2 Tanner graph nodes required by the accumulator. By defining GIRA codes we now have easily encodable codes with significantly more flexibility in choosing their degree distributions. Thus  $(\eta, s)$ -GIRA codes provide all the benefits of IRA codes as well as very good error floor performance for only a small sacrifice in threshold.

It is important to note that this paper focuses only on modifying the inner code of an IRA code as a means of reducing the error floor. Other methods such as interleaver design, modifying the sum-product algorithm and adding an outer code [14] are also applicable to GIRA codes and may further improve their error floor performance.

## IV. CONCLUSION

In this paper we have proposed a new class of iterative error correction codes, termed GIRA codes, and considered two main issues in their design: replacing the accumulator, and choosing the degree distributions. We have shown that GIRA codes can be designed to improve upon the error floor performance of traditional IRA codes with only a small sacrifice in threshold, and have presented methods to construct GIRA codes with low implementation complexity.

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